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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
BUR920000077US1

In Re Application Of: Jack O. Chau et al.  
FEB 01 2002

Serial No.  
09/683,088

Filing Date  
11/16/2001

Examiner  
Unknown

Group Art Unit  
Unknown

Title: **SINGLE REACTOR, MULTI-PRESSURE CHEMICAL VAPOR DEPOSITION FOR SEMICOMDUCTOR**

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR
- ☐ the fee set forth in 37 CFR 1.17(p).

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**SINGLE REACTOR, MULTI-PRESSURE CHEMICAL VAPOR DEPOSITION FOR**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 09-0456 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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Signature of Person Mailing Correspondence

C. Mueller

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

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Signature  
Mark F. Chadurjian, Reg. No. 30,739  
IP Law Department, Mail Drop 972E  
IBM Corporation  
1000 River Street  
Essex Junction, VT 05452  
Telephone: 802-7690-8843

Dated: 1/8/2002

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SINGLE REACTOR, MULTI-PRESSURE CHEMICAL VAPOR DEPOSITION FOR

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Telephone: 802-7690-8843

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CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Jack Oon Chu et al.

Serial No.: To be assigned

Art Unit: To be assigned

Filed: Herewith

Examiner: To be assigned

For: SINGLE REACTOR, MULTI-  
PRESSURE CHEMICAL  
VAPOR DEPOSITION FOR  
SEMICONDUCTOR DEVICES

Atty Docket: BUR920000077US1

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

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Sir:

For insuring compliance with the applicant's duty of disclosure under 37 CFR § 1.56, the undersigned hereby submits the documents listed on the attached Form PTO-1449 for consideration by the Examiner in charge of the above-identified patent application.

The listing of these materials is not to be construed as an admission that such is actually prior art with respect to the invention of the present application and is not to be construed that such is material with respect to the present invention.

These documents are being submitted (check only one of the next four boxes):

- ☒ [XXX] within three months of the filing of the above U.S. national application or of the date of entry of the U.S. national stage in an International Patent Application (no fee is due);
- ☐ [ ] before receiving a first Office Action on the merits of the above-identified patent application (no fee is due);

- ☐ following receipt of a first Office Action, but before issuance of a Final Office Action or a Notice of Allowance (if this box is checked, one of the last three boxes also must be checked);

OR

- ☐ following receipt of a Notice of Allowance or a Final Office Action (if this box is checked, the next box and one of the last three boxes also must be checked).

- ☐ PETITION - The undersigned hereby requests consideration of this information after mailing the Final Office Action or Notice of Allowance by filing this Petition under 37 CFR § 1.97(d)(2)(ii) and attaching our check in the amount of \$180.00 as the petition fee set forth in 37 CFR § 1.17(i)(1).

- ☐ Our Check in the amount of \$180.00 is attached in payment of the fee set forth in 37 CFR § 1.17(p).

- ☐ The undersigned certifies that each item of this information is being submitted within three months of the date it was cited by a foreign patent office for the first time in a counterpart application.

- ☐ The undersigned certifies that, after making reasonable inquiry, he/she has no knowledge that any item of this information was cited by a foreign patent office in a counterpart application or was known more than three months prior to this submission.

The Director is hereby authorized to charge payment of any deficiency in the above fee(s) or to charge any additional fees required under 37 CFR § 1.16 or 1.17 or credit any overpayment to Deposit Account No. 22-0185. A duplicate copy of this authorization is attached for the Finance Branch.

Respectfully submitted,

*for* Patrick J. Wells 46,353  
Burton A. Amernick, Reg. No. 24,852  
Connolly Bove Lodge & Hutz LLP  
1990 M Street, N.W.  
Washington, D.C. 20036-3425  
Telephone: 202-331-7111

Date: 9-12-01

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

FEB 01 2002

Docket Number (Optional)

BUR920000077US1

Application Number

Applicant(s)

Jack O. Chu et al.

Filing Date

Group Art Unit

Unknown

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5534713	7/9/96	Ismail, et al.			5/20/94
	AB	5259918	11/9/93	Akbar, et al.			6/12/91
	AC	6013134	1/11/00	Chu, et al.			1/18/98
	AD	5298452	3/29/94	Meyerson			2/21/92

## FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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